

**ICAO UNIVERSAL SAFETY OVERSIGHT AUDIT PROGRAMME (USOAP)
Continuous Monitoring Approach (CMA)**

**REPORT ON
USOAP CMA OFF-SITE
VALIDATION ACTIVITY
THE KYRGYZ REPUBLIC**

(November 2020)



International Civil Aviation Organization

TABLE OF CONTENTS

	Page
1. INTRODUCTION	1
2. OBJECTIVE, SCOPE AND CONDUCT OF OFF-SITE VALIDATION ACTIVITY	1
3. OFF-SITE VALIDATION ACTIVITY RESULTS	2

APPENDICES

1 — Analysis of Results

2 — Summary of PQ Status After Off-Site Validation Activity



ICAO UNIVERSAL SAFETY OVERSIGHT AUDIT PROGRAMME Continuous Monitoring Approach

Report on USOAP CMA Off-Site Validation Activity the Kyrgyz Republic

(November 2020)

1. INTRODUCTION

1.1 According to Assembly Resolution A40-13, which superseded Assembly Resolution A37-5, and following the successful transition of the ICAO Universal Safety Oversight Audit Programme (USOAP) to the Continuous Monitoring Approach (CMA), the activities under the USOAP CMA framework now include, among others, off-site validation of the corrective actions taken by a State to address USOAP audit findings.

1.2 The activity was conducted in accordance with the guidelines and principles set forth in the ICAO *Universal Safety Oversight Audit Programme Continuous Monitoring Manual* (Doc 9735) as well as in conformity with the USOAP CMA quality management system.

2. OBJECTIVE, SCOPE AND CONDUCT OF OFF-SITE VALIDATION ACTIVITY

2.1 Based on the progress indicated by the Kyrgyz Republic, hereafter known as Kyrgyzstan, ICAO conducted an Off-site Validation Activity in October 2020. The objective of this activity is to assess and validate the corrective actions taken by the State to address eligible not-satisfactory Protocol Questions (PQs).

2.2 The scope of an Off-site Validation Activity covers PQs which meet the following conditions:

- a) the status is “not satisfactory”;
- b) they do not require an on-site activity; and
- c) the corresponding corrective action plans (CAPs) submitted by the State meet the following three criteria:
 - 1) the CAPs fully address the PQ findings;
 - 2) the CAPs are fully implemented; and
 - 3) the State has submitted all evidence of implementation on the USOAP CMA online framework.

Note.— The PQs requiring an on-site activity are indicated as such in the questionnaires published in the CMA Library of the USOAP CMA online framework at <https://www.icao.int/usoap>.

2.3 This activity covered the area of airworthiness of aircraft (AIR).

2.4 Not covered were the areas of:

- a) primary aviation legislation and specific operating regulations (LEG);
- b) civil aviation organization (ORG);
- c) personnel licensing and training (PEL);

- d) aircraft operations (OPS);
- e) aircraft accident and incident investigation (AIG);
- f) air navigation services (ANS); and
- g) aerodromes and ground aids (AGA).

2.5 Conduct of the Off-site Validation Activity may lead to a change in the overall Effective Implementation (EI) of the safety oversight system of the State.

3. OFF-SITE VALIDATION ACTIVITY RESULTS

3.1 The overall EI of the safety oversight system of Kyrgyzstan based on the last USOAP activity conducted before this Off-site Validation Activity was 72.41 per cent.

3.2 In the conduct of this Off-site Validation Activity, ICAO reviewed the progress made by the State in addressing eight eligible not-satisfactory PQs in the area of AIR. Following the review, the status of 7 PQs was changed to satisfactory, while the status of 1 PQs remained not satisfactory.

3.3 The critical elements (CEs) with the lowest EIs after the Off-site Validation Activity are:

- a) CE-4, *Qualified technical personnel*, at 35.71 per cent;
- b) CE-7, *Surveillance obligations*, at 53.95 per cent; and
- c) CE-8, *Resolution of safety issues*, at 54.35 per cent.

3.4 The validation resulted in an updated overall EI of 73.32 per cent. Analyses of the updated EI by the eight CEs (Figure 1) and by audit areas (Figure 2) in Kyrgyzstan are found in Appendix 1 of this report.

3.5 Details of changes in the status of PQs are shown in Appendix 2, Summary of PQ Status After Off-Site Validation Activity.

3.6 The State is encouraged to update its CAPs addressing all not-satisfactory PQs. The CAPs should provide specific actions and estimated implementation dates, as well as a responsible office for taking action to correct the deficiencies identified in the findings. Further guidance on how to develop effective CAPs is outlined in the “[Guidance for States on Developing Corrective Action Plans \(CAPs\)](#)”, which can be found in the “CMA Library” of the USOAP CMA online framework at <https://www.icao.int/usoap>.

3.7 The Off-site Validation Activity results are also available on the online framework.

APPENDIX 1

ANALYSIS OF RESULTS

Overall EI before activity: 72.41 per cent

Overall EI after activity: 73.32 per cent

Figure 1. EI by Critical Elements:

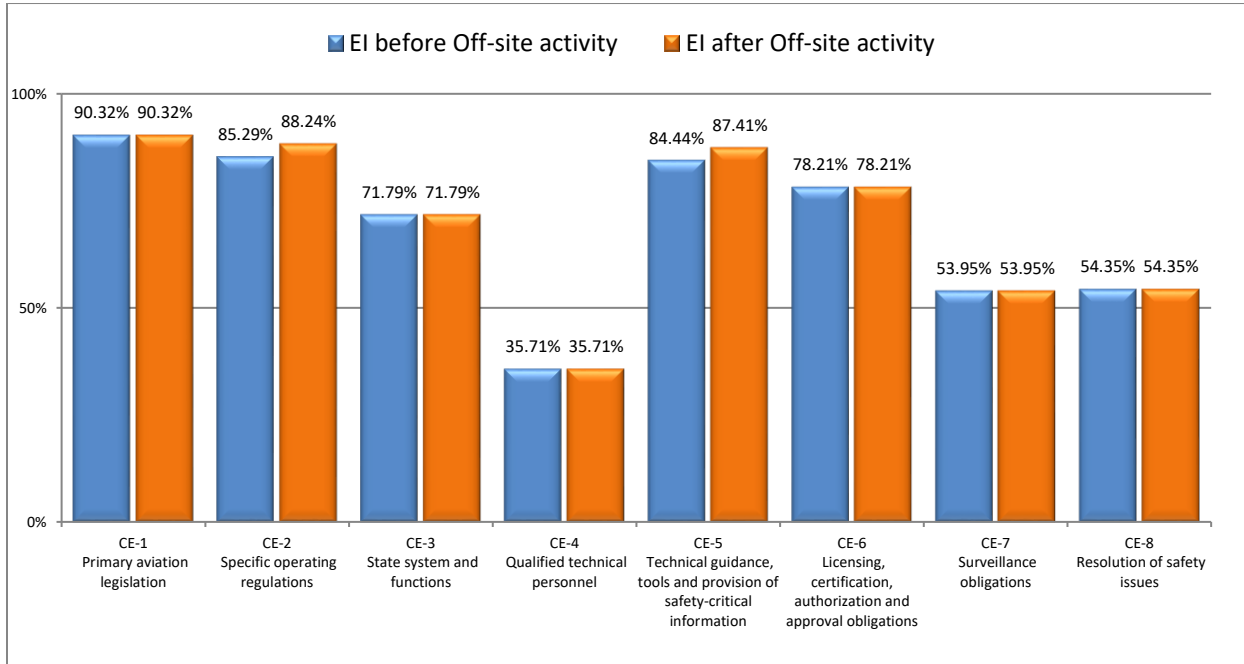
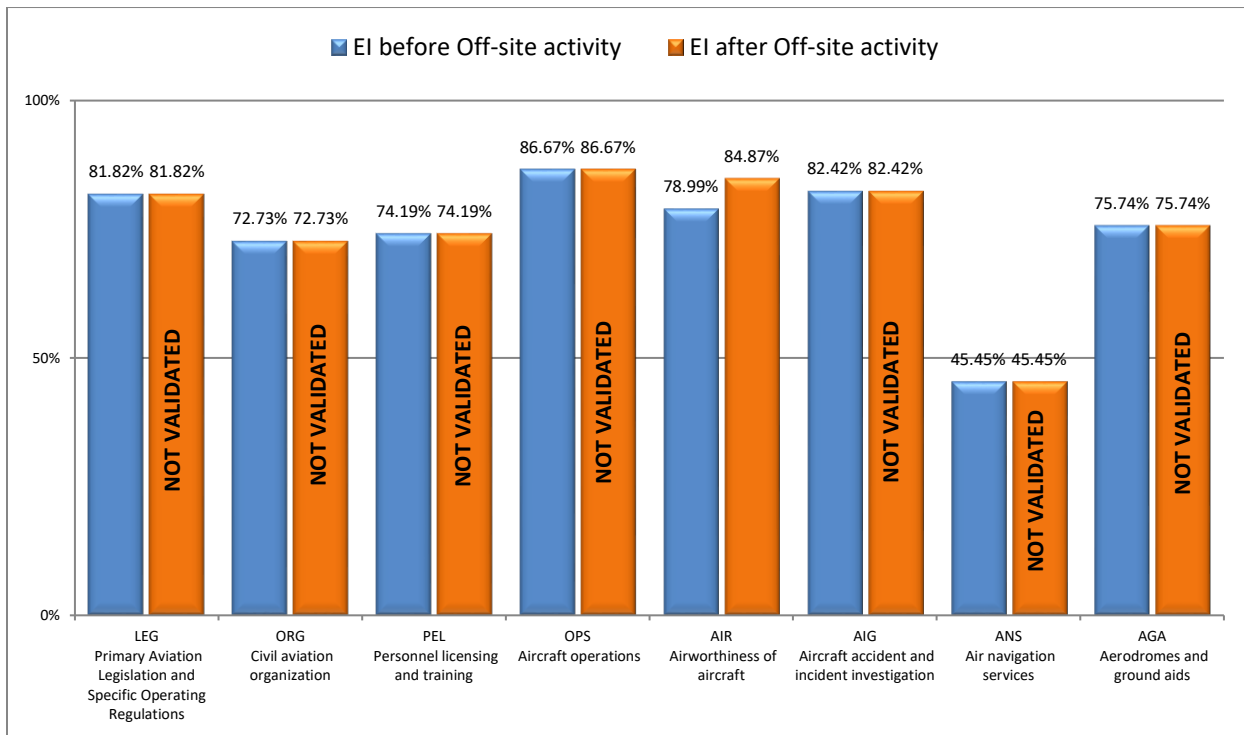


Figure 2. EI by Audit Areas:



APPENDIX 2
SUMMARY OF PQ STATUS AFTER OFF-SITE VALIDATION ACTIVITY

1. The PQ status is now changed to **satisfactory**. (Total = 7)
AIR: 5.181; 5.183; 5.215; 5.233; 5.241; 5.347; & 5.371.

2. The PQ status remains **not satisfactory** based on the evidence submitted being insufficient to fully address the initial finding. (Total = 1)
AIR: 5.001.

Total number of PQs within the scope of the activity = 8

— END —